

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants: Y. KUMAGAI, et al.

Application No.: Rule 1.53(b) Continuation of U.S. Patent Application  
Serial No. 10/255,714, filed September 27, 2002

Filed: On even date herewith

For: SEMICONDUCTOR DEVICE INCLUDING STORAGE  
CAPACITOR (As Amended)

Art Group of Parent: 814

Examiner of Parent: N. V. Ngo

**INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 CFR §1.97 & 1.98**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

September 25, 2003

Sir:

In the matter of the above-identified application, this Information Disclosure Statement is being submitted with the following citation as specified in 37 CFR §1.97(d).

"A copy of any patent, publication or other information listed in an Information Disclosure Statement is not required to be provided if it was previously cited by or submitted to the Office in a prior application, provided that the prior application is properly identified in the statement and relied upon for an earlier filing date under 35 U.S.C. §120."


Applicants are submitting herewith a copy of Form PTO-1449 which list documents cited in parent applications Serial No. 10/255,714, filed September 27, 2002, which was a Continuation of application Serial No. Serial No. 09/525,029, filed March 14, 2000, the entire disclosure of which is hereby incorporated by reference.

It is respectfully requested that this information disclosure statement be considered by the Examiner.

To the extent necessary, the applicants petition for an extension of time under 37 CFR 1.136. Please charge any shortage in the fees due in connection with the filing of this paper, including extension of time fees, to the deposit account of Antonelli, Terry, Stout & Kraus, Deposit Account No. 01-2135 (500.38344CC2).

Respectfully submitted,

ANTONELLI, TERRY, STOUT & KRAUS, LLP

By   
Gregory E. Montone  
Reg. No. 28,141

GEM/dlt

1300 North Seventeenth Street, Suite 1800  
Arlington, Virginia 22209  
Telephone: (703) 312-6600  
Facsimile: (703) 312-6666

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Substitute for form 1449A/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(use as many sheets as necessary)</i>				<b>C mplete if Kn wn</b>	
				<b>Application Number</b>	Not assigned yet
				<b>Filing Date</b>	On even date herewith
				<b>First Named Inventor</b>	Y. KUMAGAI, et al.
				<b>Art Unit</b>	Not assigned yet
<b>Examiner Name</b>	Not assigned yet				
<b>Attorney Docket Number</b>	500.38344CC2				
Sheet	1	of	1		

U.S. PATENT DOCUMENTS						
Examiner Initials <sup>1</sup>	Cite No. <sup>1</sup>	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)				
		6,072,210		6/00	Choi	
		6,255,157		7/01	Hsu et al.	
		6,255,151		7/01	Fukuda et al.	
		2002/0187633 a1		12-2002	Akram, Salman	
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		6,130,449		10-2000	Matsuoka et al.	

FOREIGN PATENT DOCUMENTS						
Examiner Initials'	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)				
		5-90606				
		10-321816				
		10-270667				
		10-12839				
		10-229084				
		8-69980				
		6-504408				

OTHER PRIOR ART—NON PATENT LITERATURE DOCUMENTS			
Examiner Initials <sup>1</sup>	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T <sup>2</sup>
		Nikkei Microdevice (pgs. 74-77) June issue in 1992	

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard St.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

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